



27123
↑CUSTOMER NUMBER↑

**REQUEST
FOR
CONTINUED EXAMINATION (RCE)
TRANSMITTAL**

Subsection (b) of 35 U.S.C. §132, effective on May 29, 2000,
provides for continued examination of an utility or plant application
filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA)

| | |
|----------------------|----------------|
| Application No. | 10/630,888 |
| Filing Date | July 30, 2003 |
| First Named Inventor | Michio YANAGI |
| Group Art Unit | 2872 |
| Examiner Name | L. Boutsikaris |
| Atty Docket No. | 1232-5094 |

This is a Request for Continued Examination (RCE) under 37 C.F.R. §1.114 of the above-identified application.

NOTE: 37 C.F.R. §1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. §1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. **Submission under 37 C.F.R. §1.114**

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. §1.116 previously filed on _____.
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____.
iii. ☐ Other:
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
ii. ☐ Affidavit(s)/Declaration(s)
iii. ☐ Information Disclosure Statement (IDS)
iv. Other:

2. **Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. §1.103(c) for a period of ____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. §1.17(i) required)
- b. Other:

3. **Fees** The RCE fee under C.F.R. §1.17(e) is required by 37 C.F.R. §1.114 when the RCE is filed

- a. ☐ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 13-4503,
- i. ☐ RCE fee required under 37 C.F.R. §1.17(e)
ii. ☐ Extension of time fee (37 C.F.R. §§1.136 and 1.17)
iii. ☐ Other
- b. ☒ Check in the amount of \$790.00 enclosed.
- c. ☒ The Director is hereby authorized to charge any additional fees, or credit any overpayments, to Deposit Account No. 13-4503 Order No. 1232-5094

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

| | | | |
|-------------------|-----------|-----------------------|----------|
| Name (Print/Type) | James Hwa | Reg. No. (Atty/Agent) | 42,680 |
| Signature | | Date | 10/15/04 |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Michio YANAGI, et al.

Group Art Unit: 2872

Serial No.: 10/630,888

Examiner: L. Boutsikaris

Filed: July 30, 2003

For: METHOD OF MANUFACTURING ND FILTER, AND APERTURE DEVICE AND
CAMERA HAVING ND FILTER

PRELIMINARY AMENDMENT

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Prior to review on the merits, please amend the above identified application as follows. A Request for Continued Examination has been filed concurrently with this Preliminary Amendment.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 5 of this paper.